**SUBMISSION COVER SHEET**

***IMPORTANT*:**   **Check box if Confidential Treatment is requested**

**Registered Entity Identifier Code (optional):**

**Organization:** **OSTTRA SEF Limited ("OSTTRA SEF")**

**Filing as a:** **DCM** **SEF** **DCO** **SDR**

**Please note - only ONE choice allowed.**

**Filing Date (mm/dd/yy): 02/11/2026 Filing Description: Amendment to the OSTTRA SEF Fee Schedule (Form SEF Exhibit K) for the increase in Basis Risk Reduction Services Crossing Access Fee.**

**SPECIFY FILING TYPE**

**Please note only ONE choice allowed per Submission.**

**Organization Rules and Rule Amendments**

Certification § 40.6(a)

Approval§ 40.5(a)

Notification § 40.6(d)

Advance Notice of SIDCO Rule Change § 40.10(a)

SIDCO Emergency Rule Change § 40.10(h)

**Rule Numbers:** See filing.

**New Product Please note only ONE product per Submission.**

Certification § 40.2(a)

CertificationSwap Class § 40.2(d)

Approval § 40.3(a)

Approval Security Futures § 41.23(b)

Novel Derivative Product Notification § 40.12(a)

**Official Product Name**:

**Product Terms and Conditions (product related Rules and Rule Amendments)**

Certification § 40.6(a)

CertificationMade Available to Trade Determination § 40.6(a)

Delisting (No Open Interest) § 40.6(a)

Approval § 40.5(a)

ApprovalMade Available to Trade Determination § 40.5(a)

Approval Amendments to enumerated agricultural products § 40.4(a), § 40.5(a)

Notification § 40.6(d)

**Official Name(s) of Product(s) Affected**:

**Rule Numbers:**

**Irina Bauer**

Chief Compliance Officer

February 11, 2026

**Via ELECTRONIC PORTAL**

Mr. Christopher J. Kirkpatrick

Office of the Secretariat

Commodity Futures Trading Commission

Three Lafayette Centre

1155 21st Street, N.W.

Washington, D.C. 20581

**Re: CFTC Regulation 40.6(a) Certification. Amendment to OSTTRA SEF Fee Schedule (Form SEF Exhibit K) for the increase in Basis Risk Reduction Services Crossing Access Fee.**

Dear Mr. Kirkpatrick:

OSTTRA SEF Limited (“OSTTRA SEF”), is certifying to the Commodity Futures Trading Commission (“CFTC” or “Commission”) amendments to the OSTTRA SEF Fee Schedule, (the “Amendments”) effective on March 1, 2026, to increase the Basis Risk Reduction Services Crossing Access Fee to offset operational expenses.

Pursuant to Commission Regulation 37.3(b)(3), once a SEF is registered as such with the Commission, any amendments to Form SEF must be filed as a submission under part 40 of the Commission’s regulations or as otherwise specified by the Commission.[[1]](#footnote-1) The Amendments serve to amend previous OSTTRA SEF submissions.

OSTTRA SEF reviewed the Swap Execution Facility core principles (“Core Principles”) as set forth in the Commodity Exchange Act (“CEA” or “Act”) and identified that the Rule Amendments may have some bearing on Core Principles, see analysis with core principles analysis table below. As a result, OSTTRA SEF is certifying the Rule Amendments to comply with the requirements set forth by the Commissions Regulation.

Appendix A and Appendix B provide the Fee Schedule in redline and clean formats respectively.

Pursuant to Section 5c(c) of the Commodity Exchange Act (the “Act”) and Regulations 37.3(b)3 and 40.6(a), OSTTRA SEF hereby certifies that the Amendments comply with the Act, as amended, and the Commission’s regulations thereunder. There were no substantive opposing views to this proposal.

OSTTRA SEF certifies that this submission has been concurrently posted on its website at https://osttra.com/osttra-sef/.

If you require any additional information regarding this submission, please contact the undersigned at [Irina.Bauer@osttra.com](mailto:Irina.Bauer@osttra.com).

Sincerely,

/s/ Irina Bauer

Chief Compliance Officer

Attachments:

Appendix A: OSTTRA SEF Fee Schedule (Form SEF Exhibit K) clean version

Appendix B: OSTTRA SEF Fee Schedule (Form SEF Exhibit K) redlined version

**Table: Analysis with Core Principles**:

| **Form SEF Exhibit and Section/Rule** | **Description of Revision** | **Reason and/or**  **Supporting Rule or Core**  **Principle** |
| --- | --- | --- |
| Fee Schedule | * Changes to Basis Risk Reduction Services Crossing Access Fee | * Core Principles 1, 2 |

1. See 78 Fed. Reg. 33476, at 33485 (June 4, 2013). [↑](#footnote-ref-1)